



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(1) International Patent Classification ⁶:

H04B 17/00

A2

(11) International Publication Number:

WO 98/24199

(43) International Publication Date:

4 June 1998 (04.06.98)

(1) International Application Number: PCT/FI97/00720

(2) International Filing Date: 25 November 1997 (25.11.97)

(4) Priority Data:

964707

26 November 1996 (26.11.96) FI

(1) Applicant (for all designated States except US): NOKIA TELECOMMUNICATIONS OY [FI/FI]; Keilalahdentie 4, FIN-02150 Espoo (FI).

(2) Inventors; and

(5) Inventors/Applicants (for US only): SALONAHON, Oscar [FI/FI]; Oksasenkatu 46 A 8, FIN-00100 Helsinki (FI). HÄKKINEN, Hannu [FI/FI]; Vuokselantie 10 B, FIN-02140 Espoo (FI). GRANLUND, Seppo [FI/FI]; Meritullinkatu 9 B 26, FIN-00170 Helsinki (FI).

(4) Agent: PATENTTITOIMISTO TEKNOLOGIS KOLSTER OY; c/o Kolster OY AB, Iso Roobertinkatu 23, P.O. Box 148, FIN-00121 Helsinki (FI).

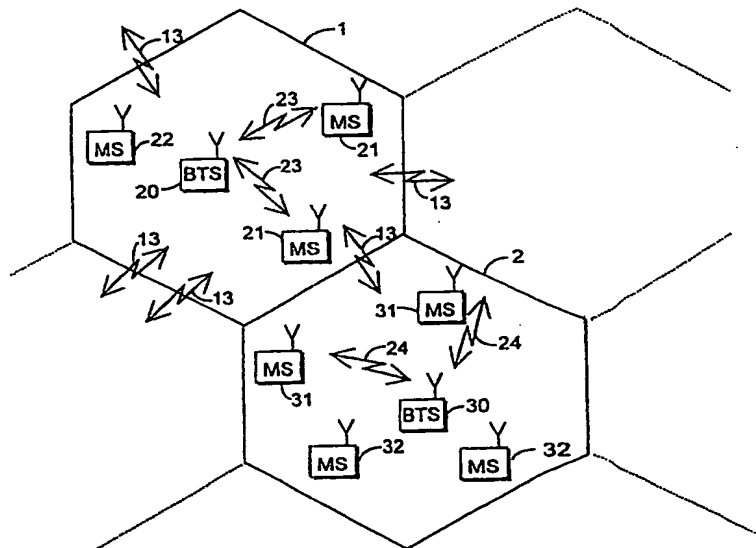
(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).

Published

In English translation (filed in Finnish).

Without international search report and to be republished upon receipt of that report.

(4) Title: METHOD FOR LOAD CONTROL, AND RADIO SYSTEM



(7) Abstract

The invention relates to a method for load control and a radio system. In the invention a load result describing the load is all-specifically formed. The load result is formed either by comparing a signal strength of desired signals (23) and a combined total strength of interferences (13) and the desired signals (23) or by weighting a signal-to-interference ratio with a bandwidth or a data transmission rate. The load result is compared with a threshold value of the highest load level allowed of a cell (1). The data transmission rate in the cell (1) is increased if the load result is smaller than the threshold value. The data transmission rate in the cell (1) is reduced and the establishment of new connections is avoided if the load result exceeds the threshold value. In heavy load situations a signal-to-interference objective is also changed in order to balance the load result.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

Albania	ES	Spain	LS	Lesotho	SI	Slovenia
Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
Austria	FR	France	LU	Luxembourg	SN	Senegal
Australia	GA	Gabon	LV	Larvia	SZ	Swaziland
Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
Burkina Faso	GR	Greece			TR	Turkey
Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
Brazil	IL	Israel	MR	Mauritania	UG	Uganda
Belarus	IS	Iceland	MW	Malawi	US	United States of America
Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
Cameroon			PL	Poland		
China	KR	Republic of Korea	PT	Portugal		
Cuba	KZ	Kazakstan	RO	Romania		
Czech Republic	LC	Saint Lucia	RU	Russian Federation		
Germany	LI	Liechtenstein	SD	Sudan		
Denmark	LK	Sri Lanka	SE	Sweden		
Estonia	LR	Liberia	SG	Singapore		

METHOD FOR LOAD CONTROL, AND RADIO SYSTEM

FIELD OF THE INVENTION

The invention relates to a method for load control, the method being used in a radio system comprising at least one base station and a subscriber terminal which communicate with each other by transmitting and receiving signals representing desired signals and interferences.

The invention further relates to a method for load control, the method being used in a digital radio system comprising at least one base station and a subscriber terminal which communicate with each other by transmitting and receiving signals which are desired signals and/or interferences.

The invention also relates to a radio system comprising at least one base station and a subscriber terminal which comprise at least one transceiver and which are arranged to communicate with one another by transmitting and receiving signals which are desired signals and/or interferences.

The invention further relates to a radio system comprising at least one base station and a subscriber terminal which comprise at least one transceiver and which are arranged to communicate with one another by transmitting and receiving signals which are desired signals and/or interferences.

BACKGROUND OF THE INVENTION

The invention is applied to interference limited cellular radio systems and particularly to a CDMA system. In the CDMA technique the user's narrowband data signal is modulated by a spreading code, which is more wideband than the data signal, to a comparatively wide band. In the methods, bandwidths from 1 to 50 MHz have been used. The spreading code is conventionally formed of a long pseudo-random bit sequence. The bit rate of the spreading code is much higher than that of the data signal. In order to distinguish spreading code bits from data bits and symbols, they are called chips. Each user data symbol is multiplied by the spreading code chips. Then the narrowband data signal spreads to the frequency band used by the spreading code. Each user has his/her own spreading code. Several users transmit simultaneously on the same frequency band and the data signals are distinguished from one another in the receivers on the basis of a pseudo-random spreading code.

The capacity of interference limited multiple access systems such as the CDMA cellular radio system is determined by an interference power

caused by users. In such a system the subscriber terminal usually establishes a connection with the base station to which the path loss is the smallest. The base station coverage does not in all situations correspond to the traffic need, but the load of some base stations increases to such an extent that the connections to the subscriber terminals can be disconnected either due to the increased interference or to the inadequacy of the shift capacity.

It is assumed in prior art handover and power regulation algorithms that a connection is established with the base station to which the path loss is the smallest. Such a best connection principle is thus preferable, as the traffic load towards the base station is constant or when the signal-to-interference ratio of the most loaded base station meets the minimum requirement. But when the load of a base station increases to such an extent that the minimum requirements of the connection quality cannot be met, a way is needed to balance the load. A prior art radio system does not, however, allow load management that balances the load, but prior art systems easily lead to an unstable situation, in which disconnecting the connection to some subscriber terminals is the only possibility. Such heavy load situations, in which the connection quality declines below the minimum requirements and which can thus be called overload situations, are not desired.

In the interference limited radio systems it is of primary importance to keep the load sufficiently low, because otherwise owing to fast power control the transmitters increase their power to the maximum. At worst this, in turn, could lead to the disconnecting of most radio system connections. Then again, it is appropriate to handle simultaneously as many connections as possible.

SUMMARY OF THE INVENTION

An object of the present invention is to implement a method and a radio system applying the method, in which a load can be optimally controlled at a connection and/or cell level, and thus prevent overload situations and improve the connection quality in a normal situation. Another object of the invention is also to enable large data transmissions using the highest possible data rate.

This is achieved with the method of the type set forth in the preamble characterized by forming a combined signal strength of one or more desired signals; forming a combined total strength of the interferences and one or more desired signals; forming a load result measuring the load by compar-

ing the signal strength and the total strength; comparing the load result with a threshold value, which is a predetermined measure for the highest load level allowed, whereby, when the load result and the threshold value substantially differ from one another, the load is balanced by changing the telecommunication rate.

The method of the invention is further characterized by forming signal-specifically one or more desired signal-to-interference ratios; forming a combined load result of the signals by proportioning one or more desired signal-to-interference ratios with corresponding signal bandwidths and data transmission rates; comparing the load result with a threshold value, which is a predetermined measure for the highest load level allowed, whereby, when the load result and the threshold result substantially differ from one another, the load is balanced by changing the telecommunication rate.

The radio system of the invention is characterized by comprising signal means to form a signal strength of one or more desired signals; total strength means to form a combined total strength for both interferences and one or more desired signals; comparing means to form a load result by comparing the signal strength and the total strength; threshold means to compare the load result with a threshold value, which is a predetermined measure for the highest load level allowed, and when the load result and the threshold value substantially differ from one another on the basis of the comparison, the radio system is arranged to balance the load by changing the telecommunication rate.

The radio system of the invention is further characterized by comprising signal-to-interference ratio means in which one or more desired signal-to-interference ratios are signal-specifically stored; frequency band means in which information on a bandwidth of one or more signals is stored; data transmission rate means which are arranged to form information on a data transmission rate of one or more signals; multiplication means which are arranged to form a load result by proportioning said desired signal-to-interference ratio with said signal bandwidth and data transmission rate; threshold means to compare the load result with a threshold value, which is a predetermined measure for the highest load result allowed, and when the load result and threshold value substantially differ from one another on the basis of the comparison, the radio system is arranged to balance the load by changing the telecommunication rate.

Great advantages are achieved with the method of the invention. The overload situations of an interference limited radio system can be avoided and the load can be optimally controlled. In addition, unstable situations and connection cut-offs can be avoided at the same time as a maximum bit rate
 5 can be used in relation to each situation.

BRIEF DESCRIPTION OF THE DRAWINGS

In the following the invention will be described in greater detail with reference to examples in the accompanying drawings, in which

- 10 Figure 1 shows communication between two transceivers,
 Figure 2 shows a cellular radio system,
 Figure 3 shows a transceiver and
 Figure 4 shows a second transceiver solution of the invention.

15 DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

The method of the invention can be applied to interference limited radio systems such as a CDMA system without restricting thereto.

Let us now examine in more detail the theoretical basis of the invention. In the CDMA system a signal-to-interference ratio SIR can be deter-
 20 mined for each connection i as follows:

$$SIR_i = P_{gain, i} \frac{P_{rx, i}}{P_{int, i}}, \quad (1)$$

where i is a connection index, $P_{rx, i}$ is a combined strength for a received de-
 25 sired signal and an interfering signal, $P_{int, i}$ is a total interference strength and gain $P_{gain, i}$ is defined $P_{gain, i} = \frac{BW}{DS}$, where BW is a bandwidth and DS is a data transmission rate. Each signal is both a possible desired signal and an interfering signal, since the signals interfere with one another. A signal strength is preferably measured as a signal power without restricting thereto, since the
 30 solution of the invention also operates by applying another parameter describing the signal strength. The data transmission rate DS is measured, for example, as bits per second. The bandwidth BW is the bandwidth the receiver employs for a radio-frequency signal. What is meant by a connection is the connection between a subscriber terminal and a base station, the connection
 35 usually being established for a call or a data transmission. In a typical radio

system the subscriber terminal is preferably a mobile phone.

When $P_{\text{gain}, i}$ in formula (1) is divided into the left side of the formula and a sum of a signal and an interference of all connections i is formed, and

$$L = \sum_i \frac{SIR_i}{P_{\text{gain}, i}} = \sum_i \frac{P_{\alpha, i}}{P_{\text{int}, i}}, \quad (2)$$

is obtained, where L is a load. In the CDMA system a total interference is formed from other signals than precisely the desired signal (desired signals) and from a constant interference caused by other electromagnetic radiation on said frequency band and, for example, from the transceiver's thermal noise. The desired signal means the received signal which is to be detected. Other signals cause interference and are thus interferences. In this way formula (2) can be converted into mode:

$$L = \sum_i \frac{SIR_i}{P_{\text{gain}, i}} = \sum_i \frac{P_{\alpha, i}}{P_{\text{int}, i}} = \frac{\sum_i P_{\alpha, i}}{\sum_i P_{\alpha, i} + I}, \quad (3)$$

where

$$\frac{\sum_i P_{\alpha, i}}{\sum_i P_{\alpha, i} + I} \rightarrow 1, \text{ when } \sum_i P_{\alpha, i} \rightarrow \infty.$$

In formula (3) I is a total interference comprising the receiver's noise, pilot signal interferences and the interference caused by other cells. P_{α} ja I depend upon each other to the effect that, when transmitted powers are increased in order to enlarge power P_{α} , several parts of interference I also increase, as the signals of P_{α} interfere, for example, with the neighbouring cell in which the powers to be used are increased. Formula (3) shows that irrespective of how high the strength of the received signal $\sum_i P_{\alpha, i}$ grows, the left side of formula (2) stays smaller than 1. The results of formula (3) are directly valid at an antenna but the results have to be proportioned to the efficiency of interference cancellation when IC or MUD (Interference Cancellation, Multi-User Detection) methods are used in reception, as interference cancellation reduces the mutual interference of the signals. If, for example, the MUD method reduces interference to a fifth, the limit value becomes fivefold, or 5. Based on this infor-

mation the solutions of the invention can be implemented. The closer the value 1 the result of formula (2) is, the higher the load L of the receiver is. It is not worthwhile to let the load L grow too high, instead it should be aimed to keep the load L sufficiently below the threshold value result 1.

5 Let us now examine in greater detail the method of the invention utilizing Figures 1 and 2. The situation in Figure 1 comprises transceivers 10 and 11, a bi-directional communication with a desired signal 12 and interferences 13. The transceiver 10 is, for example, a base station and the transceiver 11 is a subscriber terminal. When the subscriber terminal 11 transmits
10 its own signal 12, or the desired signal, to the base station 10, the base station 10 receives the desired signal 12, but simultaneously the base station 10 receives the interferences 13, which interfere with the detection of the desired signal 12. In order to improve the quality of the desired signal 12 and to ensure the detection, the base station 10 transmits a command to the subscriber terminal 11 concerning the change of the data transmission rate. As the interferences 13 interfere with the connection 12 the command preferably comprises
15 information on reducing the data transmission rate. After acknowledging the command both the base station 10 and the subscriber terminal 11 use the reduced data transmission rate, which improve the interference tolerance of both
20 receivers 10 and 11.

The method of the invention thus operates in more general terms as follows. A signal strength P_{α} of one or more desired signals 12 is formed and similarly a combined total strength $P_{\alpha} + I$ of the interferences 13 and the desired signal 12 is also formed. By comparing the signal strength P_{α} to the total
25 strength $P_{\alpha} + I$, whereby a load result L is formed, and by further comparing the load result thus formed to a predetermined threshold value K_t , or to the load goal, measures are taken, if required, to balance the load. The comparison can be performed, for example, by dividing or calculating the difference. If the load L is substantially more than what is allowed according to the threshold
30 value K_t , or in accordance with formula (3)

$$L = \frac{\sum_i P_{\alpha, i}}{\sum_i P_{\alpha, i} + I} > K_t, \quad (4)$$

where K_t is a predetermined threshold value, the load L is reduced preferably
35 by decreasing the data transmission rate of the desired signal. If again the

load L is substantially less than what is allowed by the threshold value K_t , or according to formula (3)

$$L = \frac{\sum_i P_{\alpha,i}}{\sum_i P_{\alpha,i} + I} < K_t, \quad (5)$$

5

the data transmission rate of the desired signal 12, or generally of any signal, can be increased. Thus, according to the method of the invention, which can particularly be applied to the base station, the load L is kept constant.

Figure 2 illustrates the solution of the invention in a cellular radio system. The cellular radio system comprises cells 1 and 2. The cell 1 comprises a base station 20 and subscriber terminals 21 and 22. The subscriber terminals 21 and 22 are preferably mobile phones. The subscriber terminals 21 communicate with the base station 20 in the cell 1. The subscriber terminal 22 does not communicate with anything in the situation of this example. The desired signals of the cell 1 are signals 23 as they represent traffic within the cell 1. The same signals 23 also represent interference within the cell as the desired signals 23 interfere with one another. Signals of other cells arrive at the cell 1 from outside, the signals being interferences 13 in the cell 1. In the cell 1 interferences I are also represented by other electromagnetic radiation on the frequency band of the desired signals interfering with the desired signals 23 and by the noise of the receiver. In the method of the invention the relations between the interferences 13 and 23 and the desired signals 23 are to be kept in balance and the threshold value K_t of the relation between the interferences 13 and the desired signals 23 is to be predetermined, on the basis of which threshold value the data transmission rate of the desired signals is either increased or reduced. Then the load L of the receiver increases or decreases. In the cell 1 the combined signal strength P_{α} of the desired signals 23 is summed or otherwise correspondingly formed. Furthermore, the combined total strength $P_{\alpha} + I$ of the interferences 13 and the desired signals 23 is similarly formed. By comparing the signal strength P_{α} to the total strength $P_{\alpha} + I$, whereby the load result L is obtained, and by further comparing the load result L thus formed to the predetermined threshold value K_t measures are taken, if required, in the cell 1 to balance the load L. The comparison can be performed, for example, by dividing or calculating the difference. If the load L substantially exceeds what is allowed according to the threshold value K_t in

accordance with formula (4), the effect of the interferences 13 and 23 on the desired signals 23 of the cell is reduced preferably by decreasing the data transmission rate of the desired signals 23. At this time new connections are not preferably established either, before the load situation has changed in
 5 such a way that there is less load L than what is allowed according to the threshold value K_t , since the new connections would further increase the load.

If again the load L is substantially less than what is allowed according to the threshold value K_t in accordance with formula (5), the data transmission rate of the desired signals 23 can be increased. The relation
 10 between the strengths P_{α} of the desired signals 23 and the combined strengths $P_{\alpha} + I$ of both the interferences 13 and the desired signals 23 is aimed to keep constantly stable in the cell 1.

In the second method of the invention the effect of the data transmission rate change on the load L can more clearly be concluded. In this
 15 method a signal-to-interference ratio SIR_i of each connection i is given a connection-specific desired value $SIR_{i,t}$ in formula (3) and in order to calculate the load L it is proportioned by the bandwidth BW and the data transmission rate DS . Thus, the aim is to keep formula (6) continuously valid for the connections
 20 i

$$L \leq \sum_i DS_i * \frac{SIR_{i,t}}{BW} = 1 - \varepsilon = K_t, \quad (6)$$

where $1 - \varepsilon$ is a load objective, or a threshold value load K_t . The load situation is most preferable when the load L corresponds to the desired threshold value
 25 K_t , whereby $L = K_t$. The signal-to-interference ratio $SIR_{i,t}$ is preferably formed using filtering to the effect that it is a moving average value of the measured signal-to-interference ratios SIR_i , for example, a mean. Since $SIR_{i,t}$ changes slowly, by changing the data transmission rate in a variable $P_{\text{gain}, i}$ the load L also changes in an easily predictable way. The parameter ε can be constant or
 30 variable and its value should be between figures 0 and 1. Typically the value of the parameter ε can be, for example, 0.5. The value of the desired signal-to-interference ratio $SIR_{i,t}$ thus depends on the connection i and the cell and therefore the value of $SIR_{i,t}$ has to be adapted according to the situation. For example, the base station preferably measures, when operating, the signal-to-
 35 interference ratios SIR repeatedly. Then the load result L is regularly formed, for example, at 20 ms intervals. A bit-error-rate BER, a signal-to-noise ratio

S/N or equivalent can be used as a measure of the signal-to-interference ratio SIR in the solution of the invention.

The strengths of the signals and interferences can be determined in the method of the invention from the signals' instantaneous or long-term statistical effective values or from other equivalent values. In the method of the invention the data transmission rate is reduced preferably in the connections that have the highest energy per transmitted symbol, or usually per bit. This facilitates the detection in difficult circumstances. The data transmission rate is, in turn, increased cell-specifically preferably in the connections that have the smallest energy per transmitted symbol, or usually per bit. Thus, an optimally fast data transmission rate is obtained in respect of the interferences.

As SIR_i also represents, for example, in formula (6) a signal interference objective aimed at, in addition to changing the data transmission rate in the method of the invention the load can be balanced also by changing the signal-to-interference ratio SIR_i objective. Such an operation is advantageous, for example, in heavily loaded circumstances, when more interference has to be accepted than usually.

In addition to changing the data transmission rate and the signal-to-interference ratio objectives, the establishment of new connections is also controlled in the inventive method. Then a new connection to be established particularly increases the load of the base station, hence the new connection is allowed to be established in the method of the invention only if the load L remains smaller than the highest possible load.

Let us now examine in more detail the establishment of the new connection in an up-link direction in a typical radio system. The base station calculates an up-link load L_{up} using formula (6). The base station also calculates an estimated load situation $L_{new, up}$ for the new connection

$$L_{new, up} = L_{up} + DS_i * \frac{SIR_{i, up}}{BW}, \quad (7)$$

30

The base station also calculates continuously, regularly or irregularly a standard deviation, a variance or equivalent std_i of the load L , which it utilizes when forming the threshold value K_i of the load. The threshold value K_i of the load is of the same kind in formulas (4) and (5) but the effect of uncontrolled new connections on the load situation is preferably also taken into account. If an estimated load $L_{new, up}$ is smaller than a threshold value K_i a connection can

35

be established. Otherwise a new connection is not established. In other words, when formula (8) is valid the connection is established:

$$L_{\text{new, up}} < K_t = 1 - \varepsilon - M * \text{std}_L + \text{margin}_{\text{ho}} , \quad (8)$$

5

where M is a freely chosen parameter (typically M = 5) and $\text{margin}_{\text{ho}}$ is a handover parameter (typically 0.05 when handover is performed, 0 for a new beginning connection), which is meant to prioritize a new handover connection. The load of uncontrolled connections can be taken into account by reducing the threshold value K_t by an amount based on standard deviation std_L , and thus aiming to leave reserve space for a new connection.

In a down-link direction the establishment of a connection is controlled similarly as in the up-link direction. If the estimated load $L_{\text{new, up}}$ is smaller than the threshold value K_t , the connection can be established. Otherwise a new connection is not established. In other words, when formula (8) is valid the connection is established. In addition, the total strength P_{tot} of the signal transmitted by the base station preferably has to be smaller than the threshold value P_{th} of the strength. The total value P_{tot} of the strength comprises at least a real desired signal strength P_s and preferably also a pilot signal strength P_p associated with the desired signal. In formula mode this can be shown as follows: $P_{\text{th}} > P_s + P_p = P_{\text{tot}}$. The strengths of the desired signal and the pilot signal are preferably effective values.

Figure 3 illustrates the solution of the invention which can preferably be located at the base station and the base station controller of the radio system. The transceiver comprises an antenna 40, signal pre-processing means 41, post-processing means 42, signal means 43, total strength means 44, comparing means 45, threshold means 46, control means 47, transmission means 48, threshold value means 53, in which a threshold value is stored, means 54 to calculate standard deviation, means 55 to prioritize the subscriber terminal performing handover, signal-to-interference ratio means 60 and measuring means for total signal strength 64. The radio-frequency transmission received by the antenna 40 typically comprises signals from various transmitters which function as sources for both the desired signals 23 and the interferences 13. The combined signal combination of the interferences 13 and the desired signals 23 propagates from the antenna 40 to the pre-processing means 41 comprising, for example, radio frequency means and a filter (not

shown in the Figure). The radio frequency means and the filter calculate the frequency of the received signal combination preferably for the intermediate frequency. The signal combination can also be handled by the pre-processing means 41 analogically and/or digitally. The post-processing means 42 comprise signal processing means which are needed, for example, at the base station of the radio system, but the function or structure of the post-processing means 42 is not important in terms of the invention.

The substantial structures concerning the invention are means 43-45 which implement the method of the invention. A combined signal strength 50 of the desired signals 23 of the cell 1 is formed in the signal means 43. A total strength 51 of both the desired signals and the interferences 13 is formed in the total strength means 44. By proportioning the strengths to one another to a load result 52 in the means 45 and by comparing the result 52 to a pre-determined threshold value 53 in threshold means 46, the threshold means 46 can inform control means 47 whether a change in data transmission rate is needed. The control means 47 transmit, if necessary, in connection with the change command of the data transmission rate to other parties involved (subscriber terminals) by transferring the change command to a modulator 48 and onwards to the antenna 40. The control means 47 can also change the transmitter's transmission rate by controlling the transmission modulator 48 to the effect that the data transmission rate changes. Using means 54 and 55 the magnitude of a threshold value 53 is changed according to the method of the invention. Means 40, 41, 42, 43, 44, 48 and 64 are conventionally located at the subscriber terminal or the base station. Means 45 and 60 are usually located at the base station and means 46, 47, 53, 54 and 55 are usually located at the base station or the base station controller. However, the location is unessential for the invention.

Figure 4 shows a block diagram which implements the solution of the invention somewhat differently than the solution in Figure 3. The solution comprises an antenna 40, pre-processing means 41, post-processing means 42, signal-to-interference ratio means 60, frequency band means 61, data transmission rate means 62, multiplication means 63, measuring means for total signal strength 64, threshold means 46, control means 47, transmission means 48 and threshold value means 53 in which a threshold value is stored. The solution functions in other respects substantially similarly as the solution in Figure 3, but regarding the means 60-63 the function is different. The signal-

to-interference ratio means 60 forms a signal-to-interference ratio 70 being the objective and applicable to the operational circumstances. The signal-to-interference ratio 70 is signalled onwards to means 54 and 63. The frequency band means 61 possesses information on a bandwidth BW 71 used. The signal bandwidth is typically predetermined. Information on a signal data transmission DS 72 is formed, or it is stored in the means 62. The data transmission rate is typically predetermined but can also be detected from the signal by measuring in the data transmission rate means 62. In the multiplication means 63 the signal-to-interference ratio 70 is proportioned, for example, in accordance with formula (2) by the bandwidth 71 (BW) and the data transmission rate 72 (DS) to a load result 52 and by comparing the result 52 with the predetermined threshold value 53 in the threshold means 46, the threshold means 46 can inform the control means 47 whether the data transmission needs to be changed. Using means 54 and 55 the magnitude of the threshold value 53 is changed according to the method of the invention. In a conventional solution means 40, 41, 42, 47, 48, 60 and 64 are located at the subscriber terminal or the base station. Means 46, 53, 54, 55 and 63 are located at the base station and/or the base station controller. The location of means 61 and 62 can in a conventional solution vary, and be at the subscriber terminal, the base station and the base station controller. However, the location is not essential for the invention.

The solutions of the invention can be implemented particularly regarding digital signal processing, for example, with ASIC or VLSI circuits. The functions to be performed are preferably implemented as programs based on microprocessor technology.

Even though the invention has above been described with reference to the example of the accompanying drawings, it is obvious that the invention is not restricted to it but can be modified in various ways within the scope of the inventive idea disclosed in the attached claims.

CLAIMS

1. A method for load control, the method being used in a radio system comprising at least one base station (20) and a subscriber terminal (21 and 22) which communicate with each other by transmitting and receiving signals representing desired signals (23) and interferences (23, 13), **characterized by**
- 5 forming a combined signal strength (50) of one or more desired signals (23, 24);
- forming a combined total strength (51) of the interferences (23 and 10 13) and one or more desired signals (23);
- forming a load result (52) measuring the load by comparing the signal strength (50) and the total strength (51);
- comparing the load result (52) with a threshold value (53), which is a predetermined measure for the highest load level allowed, whereby, when 15 the load result (52) and the threshold value substantially differ from one another,
- the load is balanced by changing the telecommunication rate.
2. A method for load control, the method being used in a digital radio system comprising at least one base station (20) and a subscriber terminal 20 (21 and 22) which communicate with each other by transmitting and receiving signals which are desired signals (23) and/or interferences (23, 13), **characterized by**
- forming signal-specifically one or more desired signal-to-interference ratios (70);
- 25 forming a combined load result (52) of the signals by proportioning one or more desired signal-to-interference ratios (70) with corresponding signal bandwidths (71) and data transmission rates (72);
- comparing the load result (52) with a threshold value (53), which is a predetermined measure for the highest load level allowed, whereby, when 30 the load result (52) and the threshold value (53) substantially differ from one another,
- the load is balanced by changing the telecommunication rate.
3. A method as claimed in claim 2, **characterized by** balancing the load by changing one or more telecommunication rates and/or signal-to-interference ratios. 35
4. A method as claimed in claim 2, **characterized by** form-

ing one or more desired signal-to-interference ratios (70) as a moving average value from the measured signal-to-interference ratios.

5 5. A method as claimed in claim 1 or 2, **characterized** by
reducing the data transmission rate when the load result (52) is
substantially higher than the threshold value (53); or
increasing the data transmission rate when the load result (52) is
substantially smaller than the threshold value (53).

10 6. A method as claimed in claim 1 or 2, **characterized** by,
when the signals comprise digital symbols,
reducing the data transmission rate in the connections having the
highest energy per symbol, and
increasing the data transmission rate in the connections having the
smallest energy per symbol.

15 7. A method as claimed in claim 1 or 2, **characterized** by,
avoiding the establishment of new connections when the load result (52) is
substantially higher than what is allowed according to the threshold value (53)
until the load result (52) is again substantially smaller than the threshold value
(53).

20 8. A method as claimed in claim 7, **characterized** by form-
ing the load result (52) repeatedly and by
reducing the threshold value (53) by a value based on a mean de-
viation (54) of the previous load results (52) when the load result (52) is com-
pared with the threshold value (53).

25 9. A method as claimed in claim 7, **characterized** by priori-
tizing the connection performing handover by adding a handover parameter
(55) to the threshold value (53).

30 10. A method as claimed in claim 7, **characterized** in that a
base station (20) comprises measuring means for total signal strength (64)
whereby

the establishment of a new connection is avoided if the combined
strength of a desired signal (23, 24) and a pilot signal is higher than a prede-
termined minimum.

35 11. A method as claimed in claim 1 or 2, **characterized** in
that when the radio system comprises cells (1) the telecommunication rates
are increased or reduced in the area of each cell (1) irrespective of one an-
other.

12. A radio system comprising at least one base station (20) and a subscriber terminal (21 and 22) which comprise at least one transceiver and which are arranged to communicate with one another by transmitting and receiving signals which are desired signals (23) and/or interferences (23, 13),

5 **characterized** by comprising

signal means (43) to form a signal strength (50) of one or more desired signals (23, 24);

total strength means (44) to form a combined total strength (51) for both interferences (13) and one or more desired signals (23, 24);

10 comparing means (45) to form a load result (52) by comparing the signal strength (50) and the total strength (51);

threshold means (46) to compare the load result (52) with a threshold value (53), which is a predetermined measure for the highest load level allowed, and

15 when the load result (52) and the threshold value (53) substantially differ from one another on the basis of the comparison, the radio system is arranged to balance the load by changing the telecommunication rate.

13. A radio system comprising at least one base station (20) and a subscriber terminal (21 and 22) which comprise at least one transceiver and which are arranged to communicate with one another by transmitting and receiving signals which are desired signals (23) and/or interferences (23, 13),

20 **characterized** by comprising

signal-to-interference ratio means (60) in which one or more desired signal-to-interference ratios (70) are signal-specifically stored;

25 frequency band means (61) in which information on a bandwidth (71) of one or more signals is stored;

data transmission rate means (62) which are arranged to form information on a data transmission rate (72) of one or more signals;

30 multiplication means (63) which are arranged to form a load result (52) by proportioning said desired signal-to-interference ratio (70) with said signal bandwidth (71) and data transmission rate (72);

threshold means (46) to compare the load result (52) with a threshold value (53), which is a predetermined measure for the highest load result allowed, and

35 when the load result (52) and threshold value (53) substantially differ from one another on the basis of the comparison, the radio system is ar-

ranged to balance the load by changing the telecommunication rate.

14. A radio system as claimed in claim 13, **characterized** by the radio system being arranged to balance the load by changing the telecommunication rate and/or the signal-to-interference ratio when the
5 load result (52) and the threshold value (53) substantially differ from one another on the basis of the comparison.

15. A radio system as claimed in claim 13, **characterized** by the data transmission rate means (62) being arranged to form one or more desired signal-to-interference ratios (70) as a moving average value from the
10 measured signal-to-interference ratios.

16. A radio system as claimed in claim 12 or 13, **characterized** by being arranged
to reduce the data transmission rate when the load result (52) is higher than the threshold value (53); or
15 to increase the data transmission rate when the load result (52) is smaller than the threshold value (53).

17. A radio system as claimed in claim 11 or 12, **characterized** in that when the signals comprise digital symbols,
the radio system is arranged to reduce the data transmission rates
20 particularly in the connections having the highest energy per symbol, and that the radio system is arranged to increase the data transmission rate particularly in the connections having the smallest energy per symbol.

18. A radio system as claimed in claim 11 or 12, **characterized** by the radio system being arranged to avoid the establishment of new
25 connections before the load result (52) is again substantially smaller than the threshold value (53).

19. A radio system as claimed in claim 16, **characterized** in that the load result (52) is continuously formed and
the threshold value (53) is reduced by a value based on the mean
30 deviation of the previous load results (52) when the load result (52) is compared with the threshold value (53).

20. A radio system as claimed in claim 16, **characterized** by being arranged to prioritize the connection performing handover by adding a handover parameter (55) to the threshold value (53).

35 21. A radio system as claimed in claim 16, **characterized** in that when a base station (20) comprises a measuring means for total signal

strength (64)

the radio system is arranged to avoid the establishment of a new connection if the combined strength of a desired signal (23) and a pilot signal is higher than a predetermined minimum.

- 5 22. A radio system as claimed in claim 11 or 12, **characterized** in that when the radio system comprises cells (1) the radio system is arranged to increase or reduce the data transmission rate separately in the area of each cell (1).

1/2

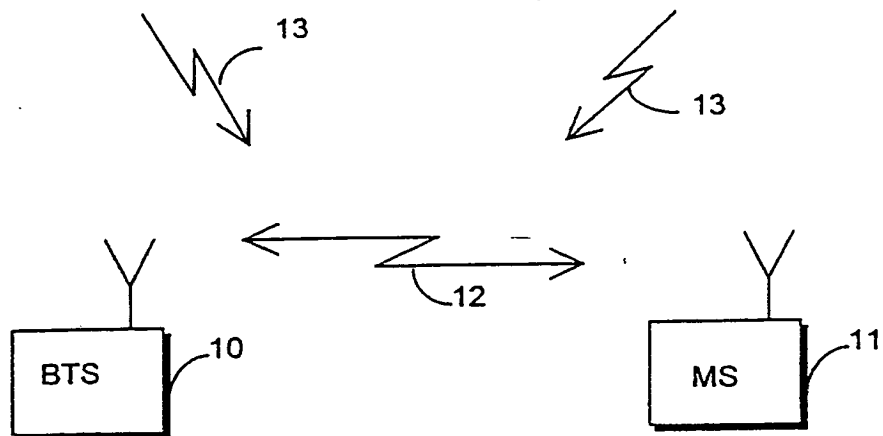


FIG. 1

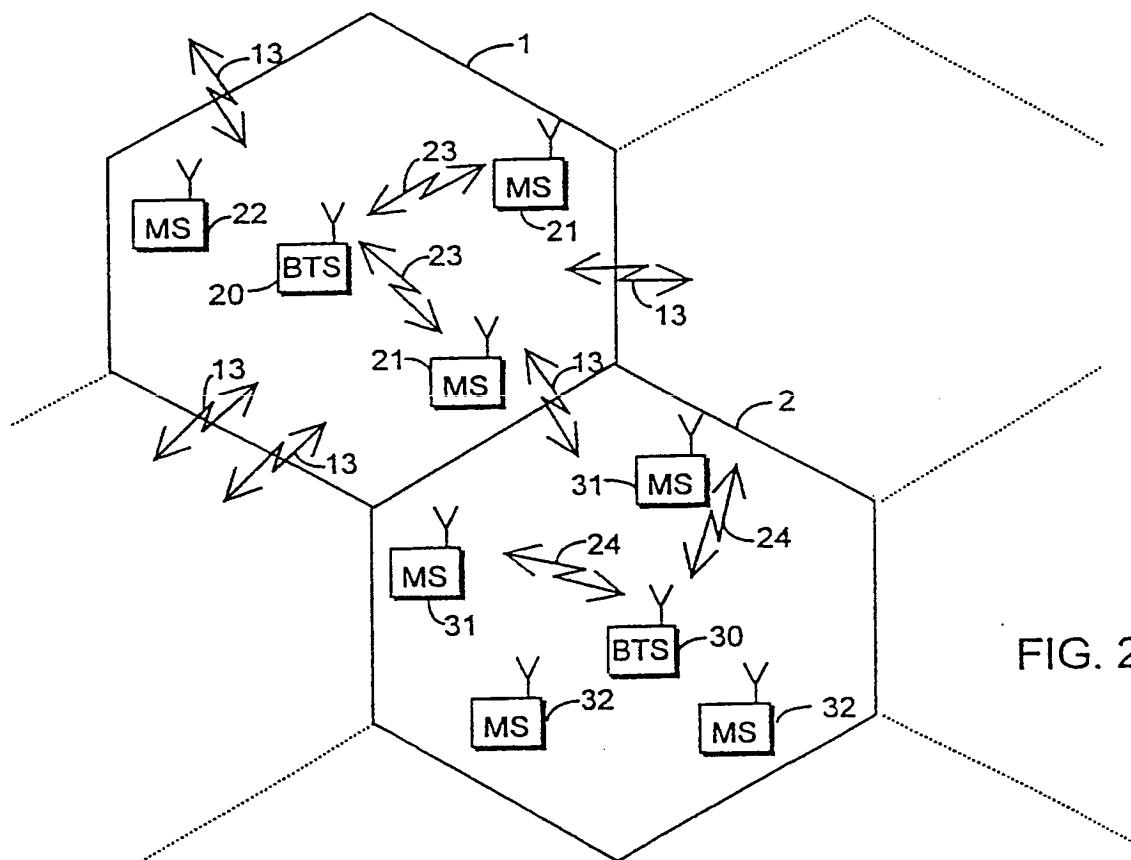


FIG. 2

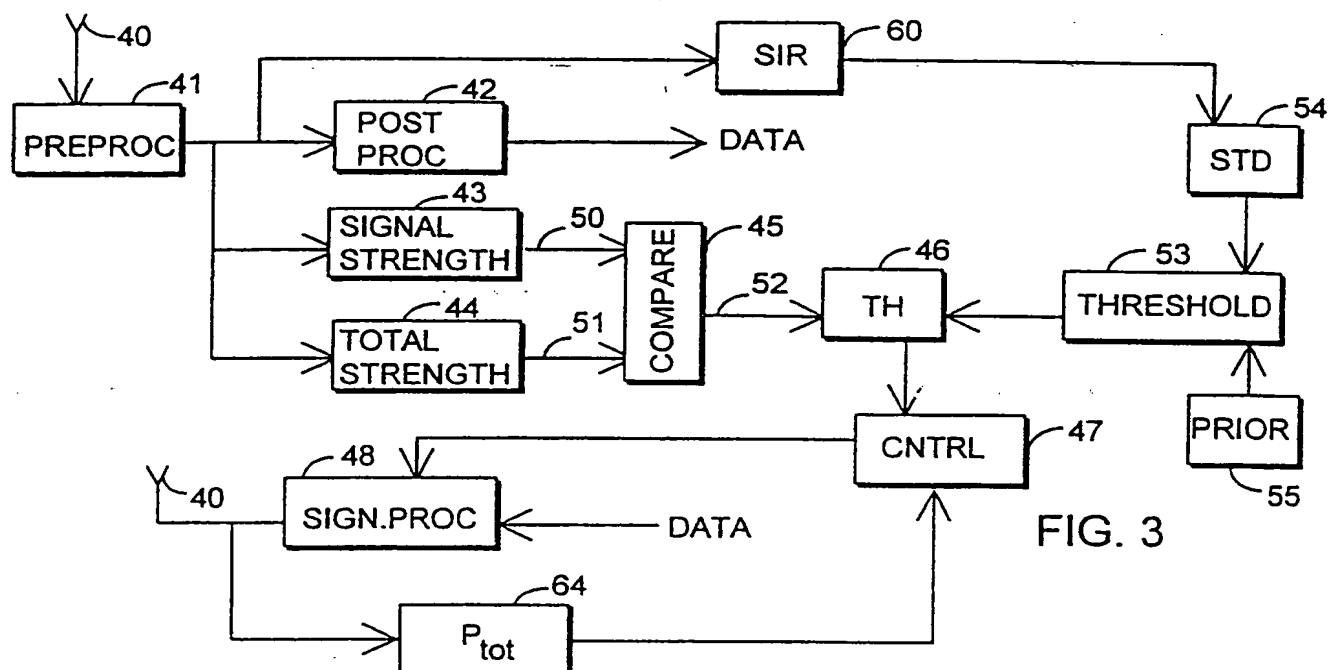


FIG. 3

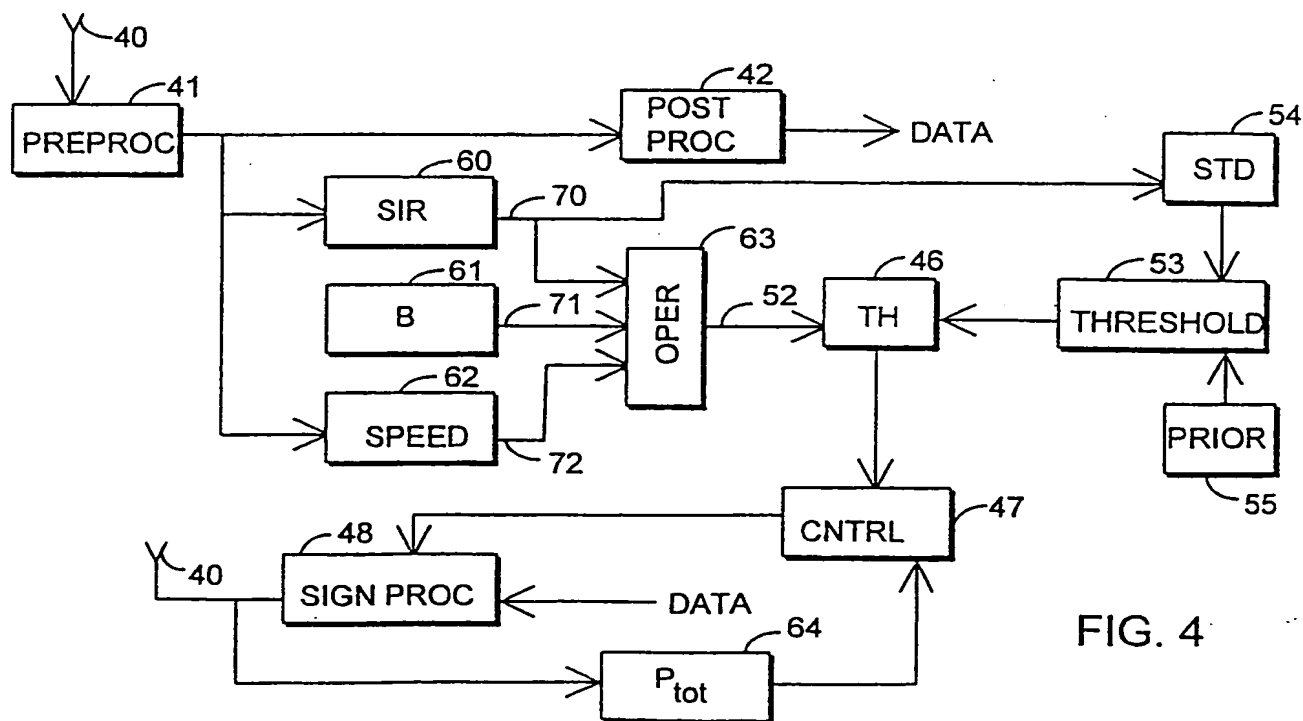


FIG. 4



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

1) International Patent Classification ⁶ :

H04B 17/00

A3

(11) International Publication Number:

WO 98/24199

(43) International Publication Date:

4 June 1998 (04.06.98)

1) International Application Number: PCT/FI97/00720

2) International Filing Date: 25 November 1997 (25.11.97)

0) Priority Data:

964707

26 November 1996 (26.11.96) FI

1) Applicant (for all designated States except US): NOKIA
TELECOMMUNICATIONS OY [FI/FI]; Keilalahdentie 4,
FIN-02150 Espoo (FI).

2) Inventors; and

5) Inventors/Applicants (for US only): SALONAHON, Oscar
[FI/FI]; Oksasenkatu 46 A 8, FIN-00100 Helsinki (FI).
HÄKKINEN, Hannu [FI/FI]; Vuokselantie 10 B, FIN-02140
Espoo (FI). GRANLUND, Seppo [FI/FI]; Meritullinkatu 9
B 26, FIN-00170 Helsinki (FI).4) Agent: PATENTTITOIMISTO TEKNOLOGIS KOLSTER
OY; c/o Kolster OY AB, Iso Roobertinkatu 23, P.O. Box
148, FIN-00121 Helsinki (FI).(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR,
BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE,
GH, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK,
LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO,
NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR,
TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH,
KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ,
BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE,
CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL,
PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN,
ML, MR, NE, SN, TD, TG).

Published

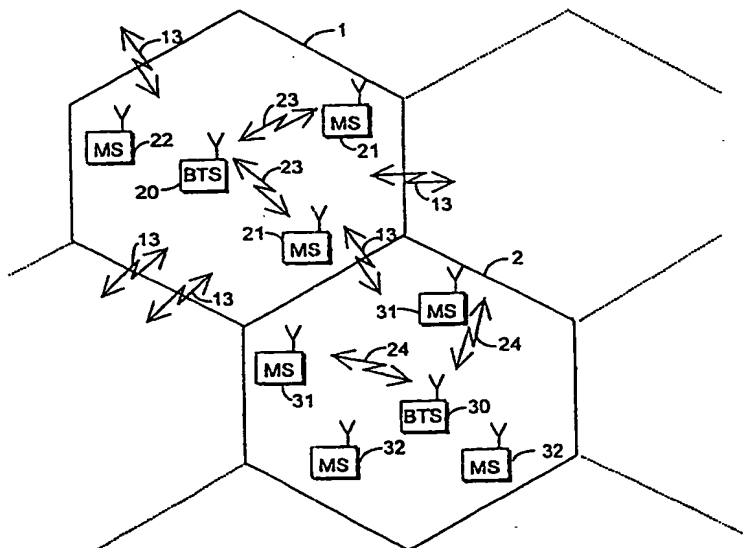
With international search report.

Before the expiration of the time limit for amending the claims
and to be republished in the event of the receipt of amendments.
In English translation (filed in Finnish).

(88) Date of publication of the international search report:

16 July 1998 (16.07.98)

4) Title: METHOD FOR LOAD CONTROL, AND RADIO SYSTEM



(7) Abstract

The invention relates to a method for load control and a radio system. In the invention a load result describing the load is all-specifically formed. The load result is formed either by comparing a signal strength of desired signals (23) and a combined total strength of interferences (13) and the desired signals (23) or by weighting a signal-to-interference ratio with a bandwidth or a data transmission rate. The load result is compared with a threshold value of the highest load level allowed of a cell (1). The data transmission rate in the cell (1) is increased if the load result is smaller than the threshold value. The data transmission rate in the cell (1) is reduced and the establishment of new connections is avoided if the load result exceeds the threshold value. In heavy load situations a signal-to-interference objective is also changed in order to balance the load result.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

L	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
M	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
T	Austria	FR	France	LU	Luxembourg	SN	Senegal
U	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
Z	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
A	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
B	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
E	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
F	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
G	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
I	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
R	Brazil	IL	Israel	MW	Malawi	UG	Uganda
Y	Belarus	IS	Iceland	MX	Mexico	US	United States of America
A	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
F	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
G	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
H	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
I	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
M	Cameroon	KR	Republic of Korea	PT	Portugal		
N	China	KZ	Kazakstan	RO	Romania		
U	Cuba	LC	Saint Lucia	RU	Russian Federation		
Z	Czech Republic	LI	Liechtenstein	SD	Sudan		
E	Germany	LK	Sri Lanka	SE	Sweden		
K	Denmark	LR	Liberia	SG	Singapore		
E	Estonia						

INTERNATIONAL SEARCH REPORT

International application No.

PCT/FI 97/00720

A. CLASSIFICATION OF SUBJECT MATTER

IPC6: H04B 17/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC6: H04B, H04Q, H04J

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

WPI, JAPIO

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 9602097 A1 (QUALCOMM INCORPORATED), 25 January 1996 (25.01.96), page 5, line 19 - line 30 --	1-22
P,A	WO 9713334 A1 (MOTOROLA INC.), 10 April 1997 (10.04.97), abstract ---	1-22
A	US 5574984 A (JOHN D. REED ET AL), 12 November 1996 (12.11.96), column 3, line 15 - line 39 --	1-22
A	EP 0652650 A2 (NTT MOBILE COMMUNICATIONS NETWORK INK.), 10 May 1996 (10.05.96), abstract ---	1-22

☐ Further documents are listed in the continuation of Box C.☒ See patent family annex.

* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"B" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

Date of mailing of the international search report

29 May 1998

05-06-1998

Name and mailing address of the ISA/

Swedish Patent Office

Box 5055, S-102 42 STOCKHOLM

Facsimile No. +46 8 666 02 86

Authorized officer

Viktor Skoog

Telephone No. +46 8 782 25 00

INTERNATIONAL SEARCH REPORT

Information on patent family members

29/04/98

International application No.

PCT/FI 97/00720

Patent document cited in search report			Publication date	Patent family member(s)		Publication date
WO	9602097	A1	25/01/96	AU	685967 B	29/01/98
				AU	2968395 A	09/02/96
				CA	2193979 A	25/01/96
				EP	0770293 A	02/05/97
				FI	970117 A	10/03/97
				IL	114512 D	00/00/00
				JP	10502778 T	10/03/98
				US	5603096 A	11/02/97
WO	9713334	A1	10/04/97	ZA	9505603 A	16/04/96
				EP	0795237 A	17/09/97
				IL	119048 D	00/00/00
US	5574984	A	12/11/96	US	5666356 A	09/09/97
				MX	9401116 A	31/08/94
				WO	9418756 A	18/08/94
EP	0652650	A2	10/05/96	CA	2134901 A	09/05/95
				CN	1113369 A	13/12/95
				JP	7312783 A	28/11/95
				US	5586113 A	17/12/96
				US	5734648 A	31/03/98